Exploring Languages with Interpreters and Functional Programming Chapter 44

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24 November 2018

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44 Calculator: Parsing

44.1 Chapter Introduction

The *ELI Calculator language* case study examines how we can represent and process simple arithmetic expressions using Haskell.

In Chapter 41, we described two different concrete syntaxes for expressions written as text. In Chapter 42, we defined the abstract syntax represented as an algebraic data type and the language semantics with an evaluation function.

Chapter 40 introduced the general concepts of lexical analysis and parsing. In this chapter, we design and implement a hand-coded lexical analyzer and two hand-coded recursive descent parsers for the two concrete syntaxes given in Chapter 41. The parsers also construct the corresponding abstract syntax trees.

44.2 Parsing

A programming language processor uses a parser to determine whether a program satisfies the grammar for the language's concrete syntax. The parser typically constructs some kind of internal representation of the program to enable further processing.

A common approach to parsing is to divide it into at least two phases:

- A lexical analyzer converts the sequence of characters into a sequence of low-level syntactic units called *tokens*. The grammar describing the tokens is usually a *regular grammar*, which can be processed efficiently using a *finite state machine*.
- A parser converts the sequence of tokens into an initial *semantic model* (e.g. into an *abstract syntax tree* supported by a *symbol table*). The grammar describing the language's full syntax is typically a *context-free grammar*, which requires more complex mechanisms to process.,

If the language has aspects that cannot be described with a context-free grammar, then additional phases may be needed to handle issues such as checking types of variables and expressions and ensuring that variables are declared before they are used.

Of course, regular grammars are context-free grammars, so a separate lexical analyzer is not required. But use of a separate lexical analyzer often leads to a simpler parser and better performance.

However, some approaches to parsing, such as the use of parser combinators, can conveniently handle lexical issues as a part of the parser.

In this chapter, we use the two-stage approach to parsing of the ELI Calculator language. We define a lexical analyzer and parsers constructed using a technique called recursive descent parsing. The parsers construct abstract syntax trees using the algebraic data type defined in Chapter 42 (i.e. in the Abstract Syntax module).

Chapter 45 generalizes the recursive descent parsers to a set of parsing combinations.

44.3 Lexical Analysis

In computing science, lexical analysis [Wikipedia 2018a] is typically the process of reading a sequence of characters from a language text and assembling the characters into a sequence of lexemes, the smallest meaningful syntactic units. In a natural language like English, the lexemes are typically the words of the language.

The output of lexical analysis is a sequence of *lexical tokens* (usually just called *tokens*). A token associates a syntactic category with a lexeme. In a natural language, the syntactic category may be the word's part of speech (noun, verb, etc.).

We call the program that carries out the lexical analysis a *lexical analyzer*, *lexer*, *tokenizer*, or *scanner*. (However, the latter term actually refers to one phase of the overall process.)

In a programming language, the syntactic categories of tokens consist of entities such as identifiers, integer literals, and operators.

The "whitespace" characters such as blanks, tabs, and newlines are usually not tokens themselves. Instead, they are delimiters which define the boundaries of the other lexemes. However, in some programming languages, the end of a line or the indentation at the beginning of a line have implicit structural meaning in the language.

Consider the ELI Calculator language infix syntax. The character sequence

includes seven tokens:

- integer literal 30
- addition operator +
- left parenthesis symbol (
- identifier x1
- multiplication operator *
- \bullet integer literal 2
- right parenthesis symbol)

Tokenization has two stages—a scanner and an evaluator.

A scanner processes the character sequence and breaks it into lexeme strings. It usually recognizes a language corresponding to a regular grammar, one of the simplest classes of grammars, and is, hence, based on a finite state machine. However, in some cases, a scanner may require more complex grammars and processors.

A token *evaluator* determines the syntactic category of the lexeme string and tags the token with this syntactic information.

Sometimes a lexical analyzer program combines the two stages into the same algorithm.

44.3.1 Prefix syntax

Now let's consider a lexical analyzer for the prefix syntax for the ELI Calculator language.

File LexCalc.hs gives an example Haskell module that implements a lexical analyzer for this concrete syntax.

The ELI Calculator language's prefix syntax includes the following syntactic categories: identifiers, keywords, integer literals, operators, left parenthesis, and right parenthesis.

The *left* and *right parenthesis* characters are the only lexemes in those two syntactic categories, respectively.

An *identifier* is the name for variable or other entity. We define an identifier to begin with an alphabetic or underscore character and include all contiguous alphabetic, numeric, or underscore characters that follow. It is delimited by a whitespace or another character not allowed in an identifier.

As a sequence of characters, a *keyword* is just an identifier in this language, so the scanner does not distinguish between two categories. The lexical analyzer subsequently separates out keywords by checking each identifier against the list of keywords.

An *integer literal* begins with a numeric character and includes all contiguous numeric characters that follow. It is delimited by a whitespace or nonnumeric character.

We plan to extend this language with additional operators. To enable flexible use of the scanner, we design it to collect all contiguous characters from a list of supported operator characters. Of course, we exclude alphabetic, numeric, underscore, parentheses, and similar characters from the list for the prefix ELI Calculator language.

The lexer subsequently compares each scanned operator against a list of valid operators to remove invalid operators.

The language uses keywords in similar ways to operators, so the lexer also subsequently tags keywords as operators. The current lexical analyzer does not use the TokKey token category.

The LexCalc module defines a Token algebraic data type, defined below, to represent the lexical tokens. The constructors identify the various syntactic categories.

The function lexx, shown below, incorporates the scanner and most of the lexeme evaluator functionality. It takes a string and returns a list of tokens.

```
import Data.Char ( isSpace, isDigit, isAlpha, isAlphaNum )
lexx :: String -> [Token]
lexx[] = []
lexx xs@(x:xs')
   | isSpace x = lexx xs'
   | isDigit x = let (num, rest) = span isDigit xs
                 in (TokNum (convertNumType num)) : lexx rest
   | isFirstId x = let (id,rest) = span isRestId xs
                 in (TokId id) : lexx rest
   | isOpChar x = let (op,rest) = span isOpChar xs
                 in (TokOp op) : lexx rest
              = (TokOther [x]) : lexx xs'
   where
       isFirstId c = isAlpha c || c == '_'
       isRestId c = isAlphaNum c || c == '_'
       isOpChar c = elem c opchars
opchars = "+-*/~<=>!&|@#$%^?:" -- not " ' ` ( ) [ ] { } , . ;
```

Function lexx pattern matches on the first character of the string and then collects any additional characters of the token using the higher order function

Data.Char.span. Function span breaks the string into two part—the prefix consisting of all contiguous characters that satisfy its predicate and the suffix beginning with the first character that does not.

Boolean function isOpChar returns True for characters potentially allowed in operator symbols. These are defined in the string opchars{.haskell{, which makes this aspect of the scanner relatively easy to modify.

Function lexer, shown below, calls lexx and then carries out the following transformations on the list of tokens:

- TokId tokens for keywords are transformed into the corresponding TokOp tokens (as defined in association list keywords {.haskell})
- TokOp tokens for valid operators (as defined in association list opmap) are transformed if needed and invalid operators are transformed into TokOther tokens

The lexer does not generate error messages. Instead it tags characters that do not fit in any lexeme as a TokOther token. The parser can use these as needed (e.g. to generate error messages).

```
lexer :: String -> [Token]
lexer xs = markSpecials (lexx xs)
markSpecials :: [Token] -> [Token]
markSpecials ts = map xformTok ts
xformTok :: Token -> Token
xformTok t@(TokId id)
    | elem id keywords = TokOp id
    otherwise
xformTok t@(TokOp op)
    | elem op primitives = t
    otherwise
                 = TokOther op
xformTok t
keywords = []
                             -- none defined currently
primitives = ["+","-","*","/"]
```

In the above code, the function xformTok transforms any identifier that is a defined keyword into an operator token, leaves other identifiers and defined primitive operators alone, and marks everything else with the token type TokOther.

44.3.2 Infix syntax

The lexer for the prefix syntax given in the previous subsection can also be used for the simple infix syntax. However, future extensions of the language may require differences in the lexers.

44.4 Recursive Descent Parsing

A recursive descent parser is an approach to parsing languages that have relatively simple grammars [Fowler 2011].

It is a *top-down parser*, a type of parser that begins with start symbol of the grammar and seeks to determine the parse tree by working down the levels of the parse tree toward the program (i.e. sentence).

By contrast, a *bottom-up* parser first recognizes the low-level syntactic units of the grammar and builds the parse tree upward from these leaves toward the root (i.e. start symbol). Bottom-up parsers support a wider range of grammars and tend to be more efficient for production compilers. However, their development tends to be less intuitive and more complex. We leave discussion of these parsers to courses on compiler construction.

A recursive descent parser consists of a set of mutually recursive functions. It typically includes one hand-coded function for each nonterminal of the grammar and one clause for each production for that nonterminal.

The recursive descent approach works well when the grammar can be transformed into an LL(k) (especially LL(1)) grammar. Discussion of these techniques are left to courses on compiler construction.

For an LL(1) grammar, we can write recursive descent parsers that can avoid backtracking to an earlier point in the parse to start down another path.

For example, consider a simple grammar with with rules:

```
S ::= A | B
A ::= C D
B ::= { E } -- zero or more occurrence of E
C ::= [ F ] -- zero or one occurrence of F
D ::= '1' | '@' S
E ::= '3'
F ::= '2'
```

Consider the nonterminal S, which has alternatives A and B.

- Alternative A can begin with terminal symbols 1, 2, or @.
- Alternaive B can begin with terminal symbol 3 or be empty.

These sets of first symbols are disjoint, so the parser can distinguish among the alternatives based on the first terminal symbol. (Hence, the grammar is backtrack-free.)

44.4.1 Constructing recursive descent parsers

A simple recognizer for the grammar above could include functions similar to those shown below. We consider the five different situations for nonterminals S, A, B, C, and E.

In the Haskell code, a parsing function takes a **String** with the text of the expression to be processed and returns a tuple (**Bool**, **String**) where the first component indicates whether or not the parser succeeded (i.e. the output of the parse) and the second component gives the new state of the input.

If the first component is **True**, then the second component holds the input remaining after the parse. If the first component is **False**, then the second component is the remaining part of the input to be processed after the parser failed.

Of course, instead of strings, the parser could work on lists of tokens or other symbols.

1. Alternatives: S ::= A | B

```
parseS :: String -> (Bool,String) -- A / B
parseS xs =
  case parseA xs of -- try A
    (True, ys) -> (True, ys) -- A succeeds
    (False, _ ) ->
        case parseB xs of -- else try B
        (True, ys) -> (True, ys) -- B succeeds
        (False, ) -> (False, xs) -- both A & B fail
```

Function parseS succeeds whenever any alternative succeeds. Otherwise, it continues to check subsequent alternatives. It fails if the final alternative fails.

If there are more than two alternatives, we can nest each additional alternative more deeply within the conditional structure. (That is, we replace the parseB failure case value with a case expression for the third option. Etc.)

2. Sequencing: A ::= C D

Function parseA fails whenever any component fails. Otherwise, it continues to check subsequent components. It succeeds when the final component succeeds.

If there are more than two components in sequence, we nest each additional component more deeply within the conditional structure. (That is, we replace parseD xs with case parseD xs of)

3. Repetition zero or more times: B ::= { E }

```
parseB :: String -> (Bool,String) -- { E }
parseB xs =
  case parseE xs of -- try E
        (True, ys) -> parseB ys -- one E, try again
        (False, _) -> (True,xs) -- stop, succeeds
```

Function parseB always succeeds if parseE terminates. However, it may succeed for zero occurrences of E or for some positive number of occurrences.

4. Optional elements: C ::= [F]

Function parseC always succeeds if parseF terminates. However, it may succeed for at most one occurrence of F.

5. Base cases to parse low-level syntactic elements: E ::= '3'

```
parseE :: String -> (Bool,String)
parseE (x:xs') = (x == '3', xs')
parseE xs = (False, xs )
```

On success in any of these cases, the new input state is the string remaining after the successful alternative.

On failure, the input state should be left unchanged by any of the functions.

To use the above templates, it may sometimes be necessary to refactor the rules that involve more than one of the above cases. For example, consider the rule

```
D ::= '1' | '@' S
```

which consists of two alternatives, the second of which is itself a sequence. To see how to apply the templates straightforwardly, we can refactor D to be the two rules:

```
D ::= '1' | DS
DS ::= '@' S
```

In addition to the above parsers for the various rules, we might have a function parse that calls the top-level parser (parseS) and ensures that all the input is parsed.

```
parse :: String -> Bool
parse xs =
    case parseS xs of
        (True, []) -> True
        (_, _ _ ) -> False
```

See file ParserS03.hs for experimental Haskell code for this example recursive descent parser.

To have a useful parser, the above prototype functions likely need to be modified to build the intermediate representation and to return appropriate error messages for unsuccessful parses.

The above prototype functions use Haskell, but a similar technique can be used with any language that supports recursive function calls.

44.4.2 Prefix syntax

This subsection describes an example recursive descent parser for the ELI Calculator language's prefix syntax. The complete code for the ParsePrefixCalc module is given in the file ParsePrefixCalc.hs.

As given in Chapter 41, the prefix parser embodies the the following grammar:

The ParserPrefixCalc module imports and uses the LexCalc module) for lexical analysis. In particular, it uses the algebraic data type Token, types NumType and Name, and function lexer.

```
lexer :: String -> [Token]
```

For the prefix grammar above, the nonterminals <id> and <unsigned> and the terminals are parsed into their corresponding tokens by the lexical analyzer.

TODO: Update this code and reference. The incomplete module TestPrefix06 (in file TestPrefix06.hs) provides some testing of the prefix parser.

The output of the parser is an abstract syntax tree constructed with the algebraic data type Expr defined in the previous chapter. This is in the Abstract Syntax module.

44.4.2.1 Parse <expression>

Now let's build a recursive descent parser using the method described in the previous subsection. We begin with the start symbol <expression>.

The parsing function parseExpression, shown below, implements the following BNF rule:

```
<expression> ::= <var> | <val> | <operexpr>
```

It uses the recursive descent template #1 with three alternatives.

```
type ParErr = String

parseExpression :: [Token] -> (Either ParErr Expr, [Token])

parseExpression xs =
    case parseVar xs of
    r@(Right _, _) -> r -- <var>
    _ ->
    case parseVal xs of
    r@(Right _, _) -> r -- <val>
    _ ->
    case parseOperExpr xs of
    r@(Right _, _) -> r -- <operator>
        (Left m, ts) -> (missingExpr m ts, ts)
```

Function parseExpression takes a Token list and attempts to parse an <expression>. If the parse succeeds, the function returns a pair consisting of the Right value of an Either wrapping the corresponding Expr abstract syntax tree and the list of input Tokens remaining after the Expr. If the parse fails, then the function returns an error in a Left value for the Either and the unchanged list of input Tokens.

We define an auxiliary function missingExpr to generate an appropriate error message.

The function parse, shown below, is the primary entry point for the ParsePrefixCalc module. It first calls the lexical analysis function lexer (from the module LexCalc) on the input list of characters and then calls the parsing function parseExpression with the corresponding list of tokens.

If a parsing error occurs or if there are leftover tokens, then the function returns an appropriate error message.

44.4.2.2 Parse <var>

Function parseVar implements the BNF rule:

```
<var> ::= <id>
```

Variable <id> denotes an identifier token recognized by the lexer. So we implement function parseVar as a base case of the recursive descent parser (i.e. template #5).

```
parseVar :: [Token] -> (Either ParErr Expr, [Token])
parseVar ((TokId id):ts) = (Right (Var id),ts)
parseVar ts = (missingVar ts, ts)

missingVar ts =
   Left ("Missing variable at " ++ (showTokens (pref ts)))
```

Function parseVar has the same type signature as parseExpression. It attempts to match an identifier token at the front of the token sequence. If it finds an identifier, it transforms the token to a Var expression and returns it with the remaining token list. Otherwise, it returns an error message and the unchanged token list.

44.4.2.3 Parse <val>

Function parseVal implements the BNF rule:

```
<val> ::= [ '-' ] <unsigned>
```

To implement this rule, we can refactor it into two rules that correspond to the recursive descent template functions:

```
<val> ::= <optminus> <unsigned>
<optminus> ::= [ '-' ]
```

Then <val> can be implemented using the sequencing (#2) prototype, <optminus> using the optional element (#4) prototype, and <unsigned> and - using base case (#5) prototypes.

However, <unsigned> denotes a numeric token and - denotes a single operator token. Thus we can easily implement parseVal as a base case of the recursive descent parser.

```
parseVal :: [Token] -> (Either ParErr Expr, [Token])
parseVal ((TokNum i):ts) = (Right (Val i), ts)
parseVal ((TokOp "-"):(TokNum i):ts) = (Right (Val (-i)), ts)
parseVal ts = (missingVal ts, ts)

missingVal ts =
   Left ("Missing value at " ++ (showTokens (pref ts)))
```

Function parseVal has the same type signature as parseExpression. It attempts to match a numeric token, which is optionally preceded by a negative sign, at the front of the token sequence. If it finds this, it transforms the tokens to a Val expression and returns the expression and the remaining token list. Otherwise, it returns an error message and the unchanged token list.

Function parseOperExpr implements following BNF rule:

```
<operexpr> ::= "(" <operator> <operandseq> ")"
```

It uses a modified version of recursive descent template #2 for sequences of terms.

```
parseOperExpr :: [Token] -> (Either ErrMsg Expr, [Token])
parseOperExpr xs@(TokLeft:(TokOp op):ys) = -- ( <operator>
    case parseOperandSeq ys of
                                            -- <operandseq>
        (args, zs) ->
                                            -- )
            case zs of
              (TokRight:zs') -> (makeExpr op args, zs')
                           -> (missingRParen zs, xs)
-- ill-formed <operexpr>s
parseOperExpr (TokLeft:ts)
                               = (missingOp ts, ts)
parseOperExpr (TokRight:ts)
                              = (invalidOpExpr ")", ts)
parseOperExpr ((TokOther s):ts) = (invalidOpExpr s, ts)
parseOperExpr ((TokOp op):ts) = (invalidOpExpr op, ts)
parseOperExpr ((TokId s):ts) = (invalidOpExpr s, ts)
parseOperExpr ((TokNum i):ts) = (invalidOpExpr (show i), ts)
                               = (incompleteExpr, [])
parseOperExpr []
missingRParen ts =
    Left ("Missing `)` at " ++ (show (take 3 ts)))
missingOp ts
    Left ("Missing operator at " ++ (show (take 3 ts)))
invalidOpExpr s =
    Left ("Invalid operation expression beginning with " ++ s)
incompleteExpr = Left "Incomplete expression"
```

Function parseOperExpr has the same type signature as parseExpression. It directly matches against the first two tokens to see whether they are a left parenthesis and an operator, respectively, rather than calling separate functions to parse each. If successful, it then parses zero or more operands and examines the last token to see whether it is a right parenthesis.

If the operator expression is ill-formed, the function returns an appropriate error message.

The function parseOperExpr delegates the construction of the corresponding Expr (i.e. abstract syntax tree) to function makeExpr, which we discuss later in the subsection.

The values yielded by the components of **<operator**> must be handled differently than the previous components of expressions we have examined. They are not themselves **Expr** values.

• (and) denote the structure of the expression but do not have any output.

- <operator> does not itself yield a complete Expr. It must be combined with some number of operands to yield an expression. The number varies depending upon the particular operator. We pass a string to makeExpr to denote the operator.
- <operandseq> yields a possibly empty list of Expr values. We pass an
 Expr list to makeExpr to denote the operands.

44.4.2.5 Parse operandseq>

Function parseOperandSeq implements the BNF rule:

```
<operandseq> ::= { <expression> }
```

It uses the recursive descent template #3 for repeated symbols.

```
parseOperandSeq :: [Token] -> ([Expr],[Token])
parseOperandSeq xs =
   case parseExpression xs of
        (Left _, _ _) -> ([],xs)
        (Right ex, ys) ->
        let (exs,zs) = parseOperandSeq ys
        in (ex:exs,zs)
```

The function parseOperandSeq takes a token list and collects a list of 0 or more operand Exprs. An empty list means that no operands were found.

44.4.2.6 AST construction (makeExpr)

Operators in the current abstract syntax take a fixed number of operands. Add and Mul each take two operands, but a negation operator would take one operand and a conditional "if" operation would take three.

However, the current concrete prefix syntax does not distinguish among the different operators and the number of operands they require. It allows any operator in an coperexpr> to have any finite number of operands.

We could, of course, define a grammar that distinguishes among the operators, but we choose to keep the grammar flexible, thus enabling easy extension. We handle the operator-operand matching in the makeExpr function using data structures to define the mapping.

Thus, function makeExpr takes the operator string and a list of operand Exprs and constructs an appropriate Expr. It uses function arity to determine the number of operands required for the operator and then calls the appropriate opConsN function to construct the Expr.

```
makeExpr :: String -> [Expr] -> Either ErrMsg Expr
makeExpr op exs =
   case arity op of
```

```
0 -> opCons0 op exs -- not implemented
1 -> opCons1 op exs
2 -> opCons2 op exs
3 -> opCons3 op exs
4 -> opCons4 op exs -- not implemented
5 -> opCons5 op exs -- not implemented
    -> opConsX op exs -- not implemented
```

Function arity takes an operator symbol and returns the number of operands that operator requires. It uses the arityMap association list to map the operator symbols to the number of arguments expected.

Function opCons2 takes a binary operator string and an operand list with two elements and returns the corresponding Expr structure wrapped in a Right. An error is denoted by passing back an error message wrapped in a Left.

Currently, the only supported operators are the binary operators +, -, *, and /. These map to the binary Expr constructors Add, Sub,Mul, and Div. (These are two-argument functions.)

If we extend the supported operators, then we must extend the definitions of arityMap and assocOpCons2 and add new definitions for opConsN and assocOpConsN for other arities N. (We may also need to modify the LexCalc module and the definition of Expr.)

For now, we respond to unknown operators using function opConsX and return an appropriate error message. (In the future, this function may be redefined to support operators with variable numbers of operands.)

```
opConsX :: String -> [Expr] -> Either ErrMsg Expr
opConsX op exs = unsupportedOp op
unsupportedOp op = Left ("Unsupported operator '" ++ op ++ "'")
```

44.4.3 Infix syntax (TODO)

TODO: Update the parser to reflect the grammar change and recursive descent explanation.

TODO: Describe the recursive descent infix parser in module ParseInfixCalc.hs. An incomplete module that does some testing is TestInfix03.hs.

44.5 Exercises

TODO

44.6 Acknowledgements

I initially developed this case study for the Haskell-based offering of CSci 556 (Multiparadigm Programming) in Spring 2017. I continued work on it during Summer and Fall 2017. I based this work, in part, on ideas from:

- the Scala-based Expression Tree Calculator case study from my *Notes on Scala for Java Programmers* [Cunningham 2018]: (which was itself adapted from the tutorial Scala for Java Programmers [Schinz 2017] in Spring 2016)
- the Lua-based Expression Language 1 and Imperative Core interpreters I developed for the Fall 2016 CSci 450 course
- Samuel N. Kamin's textbook *Programming Languages: An Interpreter-based Approach*, Addison Wesley, 1990 [Kamin 1990] and my work to implement three (Core, Lisp, and Scheme) of these interpeters in Lua in 2013
- the Wikipedia articles on Regular Grammar, Context-Free Grammar, Backus-Naur Form, Lexical Analysis, Parsing, LL Parser, Recursive Descent Parser, and Abstract Syntax [Wikipedia 2018, 2018b].
- Chapter 21 (Recursive Descent Parser) of the Martin Fowler and Rebecca Parsons's book *Domain-Specific Languages*, Addison Wesley, 2011 [Fowler 2011].

- Section 3.2 (Predictive Parsing) of Andrew W. Appel's textbook Modern Compiler Implementation in ML, Cambridge, 1998
 [Appel 1998].
- Chapters 6 (Purely Functional State) and 9 (Parser Combinators) from Paul Chiusano and Runar Bjarnason's Functional Programming in Scala, Manning, 2015 [Chiusano 2015].

In Fall 2018, I divided the 2017 Expression Language Parsing chapter into two chapters in the 2018 version of the textbook, now titled *Exploring Languages with Interpreters and Functional Programming*. Sections 11.1-11.4 became Chapter 44, Calculator Parsing (this chapter), and sections 11.6-11.7 became Chapter 45, Parsing Combinators. I merged Section 11.5 into Chapter 43, a new chapter on the modular structure of the ELI Calculator language interpreter.

I maintain these notes as text in Pandoc's dialect of Markdown using embedded LaTeX markup for the mathematical formulas and then translate the notes to HTML, PDF, and other forms as needed. The HTML version of this document may require use of a browser that supports the display of MathML.

44.7 References

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44.8 Terms and Concepts

TODO